

ACCOUNT DETAILS

Account No	0	0	0																		
Applicant Name (in full)																					
Joint Applicant Name (in full)																					

CATEGORY OF INVESTORS	SOPHISTICATED INVESTOR QUALIFYING CRITERIA (Please tick (✓) where applicable)
Accredited Investors	<input type="checkbox"/> 1. A unit trust scheme, private retirement scheme or prescribed investment scheme. <input type="checkbox"/> 2. Bank Negara <input type="checkbox"/> 3. A licensed person or a registered person. <input type="checkbox"/> 4. An exchange holding company, a stock exchange, a derivatives exchange, an approved clearing house, a central depository or a recognized market operator. <input type="checkbox"/> 5. A corporation that is licensed, registered or approved to carry on any regulated activity or capital market services by an authority in Labuan or outside Malaysia which exercises functions corresponding to the functions of the SC. <input type="checkbox"/> 6. A bank licensee or an insurance licensee as defined under the Labuan Financial Services and Securities Act 2010 [Act 704]. <input type="checkbox"/> 7. An Islamic bank licensee or a takaful licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010 [Act 705]. <input type="checkbox"/> 8. A chief executive officer or a director of any person referred to in subparagraphs 3, 4, 5, 6, and 7 above. <input type="checkbox"/> 9. A closed-end fund approved by the SC.
High-Net Worth Individual	An individual : <input type="checkbox"/> Whose total net personal assets exceeding three million ringgit or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual contribute not more than one million ringgit of the total net assets; <input type="checkbox"/> Whose total net joint assets with - (a) his or her spouse; or (b) his or her child, exceeding three million ringgit or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual with his or her spouse or child contribute not more than one million ringgit of the total net assets; <input type="checkbox"/> Who has a gross annual income exceeding three hundred thousand ringgit or its equivalent in foreign currencies in the preceding twelve months; <input type="checkbox"/> Who jointly with his or her spouse or child, has a gross annual income exceeding four hundred thousand ringgit or its equivalent in foreign currencies in the preceding twelve months; <input type="checkbox"/> Whose total net personal investment portfolio or total net joint investment portfolio with his or her spouse or child, in any capital market products exceeding one million ringgit or its equivalent in foreign currencies; Who holds any of the following qualifications and has five consecutive years of relevant working experience in finance, economics, actuarial science or accounting – <input type="checkbox"/> Holds a Bachelor’s or Master’s degree related to Finance, Economics or Actuarial Science; <input type="checkbox"/> Holds a Bachelor’s or Master’s degree in Accounting; or <input type="checkbox"/> Holds a Master of Business Administration. Who holds the following membership in the associations as set out below: <input type="checkbox"/> Active Member of Chartered Financial Analyst (CFA) Institute; <input type="checkbox"/> Chartered Banker of Asian Institute of Chartered Bankers (AICB); <input type="checkbox"/> Ordinary Member of Financial Markets Association Malaysia (FMAM); <input type="checkbox"/> Chartered Accountant, C.A(M) of Malaysian Institute of Accountants (MIA); <input type="checkbox"/> Ordinary Member of Malaysia Association of Tax Accountants (MATA); <input type="checkbox"/> Accredited Angel Investor of Malaysian Business Angel Network (MBAN); <input type="checkbox"/> Certified Member of Financial Planning Association of Malaysia (FPAM); or <input type="checkbox"/> Ordinary Member of Malaysian Financial Planning Council (MFPC). <input type="checkbox"/> Who has five consecutive years of working experience in a capital market intermediary relating to product development, corporate finance, deal advisory, investment management, sales and trading, investment research and advisory, financial analysis, or the provision of training in investment products.

INDIVIDUAL APPLICANT

I confirm that **I AM NOT** a SOPHISTICATED INVESTOR (as defined above)

I confirm that I am a SOPHISTICATED INVESTOR (as defined above)

JOINT APPLICANT

I confirm that **I AM NOT** a SOPHISTICATED INVESTOR (as defined above)

I confirm that I am a SOPHISTICATED INVESTOR (as defined above)

I / we agree to indemnify and keep Pheim Unit Trusts Berhad indemnified in respect of any costs, expenses, fines, penalties, or any other losses, which it may suffer or incur in the event this Declaration is untrue or incorrect in any way.

Signature of Individual Applicant

Signature of Joint Applicant

Date